

IAP9 Rec'd PCT/PTO 22 SEP 2006

OWNER RECOGNITION BY PORTABLE GUNS

BACKGROUND OF THE INVENTION

The importance of the hand for shooting purposes

When a person shoots a gun, the energy required to pull the trigger is generated entirely by contraction of his muscles. The grasp of the hand on the grip of the gun constitutes the interface between the shooter and the gun.

To maintain control of the gun and transfer energy to the trigger, the hand has to grasp the grip. When the hand grips a handle, it produces a grip force, classically defined as the force applied through the fingers and the palm normal to the handle's surface.

If the grip force is too low, the gun will slip in the hand and the quality of the transfer of energy will be poor. Another possibility is that the system handgun will not be structurally firm and the fire will be erratic. The simple solution to overcome this problem is to increase the grip force. Increasing the grip force ensures that the gun stays firmly in the hand. The literature reveals that the grip force is roughly twice the minimal value required to prevent the hand-held object from slipping.

The grip strength measurement

In jobs that require repetitive gripping, an ergonomic evaluation should include a measure or estimation of the applied grip force.

Grip strength is an important prerequisite to good hand performance. Muscle weakness and impaired motor control are important factors in generating grip force. The impairment is often manifested in decreased ability to perform simple daily tasks such as pouring a drink or feeding.

Within the medical area there are a great number of studies and procedures for testing grip forces. It is a relevant medical subject, since a great number of patients with neurological disturbances present poor manual mobility. Those procedures are of current use in clinical practice to monitor progress during occupational and physical therapy, to

BEST AVAILABLE COPY

assess potential for rehabilitation, or to monitor the likelihood of postoperative complications. To help those patients, equipments based on the grip force are being developed at the same time that researchers look after the characteristics of the patients force to hold an object and to move it. An interesting aspect of medical research is the preoccupation with tennis, measuring the grip force with accuracy, based on the previous knowledge of motion and anticipation of impact.

Both the current equipments and new prototypes vary as the grip force measurement goal is the:

- Grip force only;
- Neural stimuli related to the grip muscular contraction;
- Hand motion;
- Average force as a time function.

Another evaluation system, also related to the manual motion, has the objective of determining the grip force and the fine control of objects grabbing between the thumb and the others fingers.

The bibliography shows that the normal grip force used to grab objects varies between 20 and 80 N. The force intensity is related with previous training (for example, using a sensor adapted to a screwdriver employed to fix a screw), previous knowledge of the trajectory of the object to be hit and knowledge of the time of hitting (for example, using a sensor on the handle of a tennis racket).

Grip force seen as an individual "fingerprint" and grip force threshold for a specific task

A grip force threshold may be determined for a specific task. This conclusion was demonstrated with a hand dynamometer measuring a screwdriver task, a ratchet task and a lift-and-carry task. Eighteen healthy males (aged between 18 - 65 years) participated in the study. Data from several lift-and-carry trials were examined and a grip force threshold of 18.6 N was selected to denote the active phase

of the lift. For the screwdriver and ratchet tasks, a threshold of 0.56 Newton-meters (Nm) for the applied moment was used to denote the active phase of tool use. Moreover, each and every male had his own grip force signature, as being an individualized fingerprint. Besides, data points with grip force values exceeding the threshold level were included in the trial data. Mean and peak values were calculated for each trial, and the average for the two trials of each condition were calculated. So it was concluded that the mean and peak grip forces were determined for the active phases of all conditions.

SUMMARY OF THE INVENTION

The invention presented in this work deals with the personalization of portable guns - including revolvers, pistols, carbines, riffles and hand machine guns, among others - in progressive levels of sophistication.

First level of sophistication

The first level of handling safety, the portable gun will recognize an individual belonging to a group whose grip force is inferior to a specified threshold and will avoid a successful firing by that individual.

Limiting Factor → threshold grip force - first level of handling safety in gun personalization.

Two technical solutions can be devised. Firstly, the coating material of the grasping handle will be produced with a determined resistance that only when an established threshold grip force is surpassed, the trigger unlocking will occur. For instance, a secondary safety pin, always in blocking position, would be released as long as the grip force allows its liberation.

Another form of establishing the threshold grip force as a limiting factor for the employment of a portable gun is through the use of a strain gage, either in the frontal part of the handle in the ergonomic position of the "greater" finger of the hand used to hold the gun, or in the posterior part of the handle in the ergonomic position of the part of

hand palm correspondent to the thumb, or even in the right lateral part of the handle, for dextral shooters, or the left lateral part of the handle, for left-handed shooters, in the ergonomic position of the hand palm used to hold the gun.

5 This type of personalization will be restricted to persons that can employ successfully a gun when their grip force is superior to the established threshold. Persons with grip force bellow the established bottom limit could grab a gun and try to actuate the trigger, but the safety device
10 will not be activated and the action will be unsuccessful.

In this way, the first personalization level of a portable gun will make possible children impediment in shooting guns successfully, in case of having a grip force bellow to the threshold established for activation of the
15 actuation system. Therefore, a portable gun in first level of personalization can employ two types of actuation systems, based on the minimum grip force: material deformation or strain gage.

Second level of sophistication

20 For second level of handling safety, the portable gun will recognize an individual, as belonging to a smaller group than in the first level, whose grip force (F) is within a small range ($F_a - 1/2r < F < F_a + 1/2r$), where F_a is an average grip force and r is the width of the normal distribution. In
25 reality the gun will recognize his owner because at the moment of acquisition he will hold the handle as many times as it is necessary to compute and store his average grip force and the width of his normal distribution using an electronic circuit, connecting a strain gage to a chip,
30 installed inside the gun handle. After that, the gun will only recognize for a successful firing an individual whose grip force lays within the stored small operational range, of course, the owner is one of those individuals.

Limiting Factor → average grip force – second level of
35 handling safety in gun personalization.

It will be installed inside the gun handle an electronic

circuit, connecting a strain gage to a chip. The strain gage is placed either in the frontal part of the handle in the ergonomic position of the "greater" finger of the hand used to hold the gun, or in the posterior part of the handle in the ergonomic position of the part of hand palm correspondent to the thumb, or even in the right lateral part of the handle, for dextral shooters, or the left lateral part of the handle, for left-handed shooters, in the ergonomic position of the hand palm used to hold the gun.

10 Only when a grip force is within the force range stored in the chip, the trigger unlocking will occur. For instance, a secondary safety pin, always in blocking position, would be released as long as the grip force allowed its liberation.

Third level of sophistication

15 For third level of handling safety, the portable gun will be used within an even smaller group than in the second level. In practice this group is unitary and is composed by the person that grasped the handle to establish the average local grip forces, at the acquisition moment. Now, the gun handle will receive at least six strain gages integrated to an electronic circuit with a chip. Each and every strain gage will measure the local grip force at different hand positions. Each and every local grip force (F_i) is within a small local operational range ($F_{ai}-1/2r_i < F_i < F_{ai}+1/2r_i$),
20 where F_{ai} is an average local grip force and r_i is the width of the normal distribution. For that, the gun handle will be molded to the owner hand in order to captivate local grip forces of muscular groups in the hand palm and in different fingers, with the exception of the finger that will push the trigger. In reality the gun will recognize his owner because
30 at the moment of acquisition he will hold the handle as many times as it is necessary to compute and store his average local grip forces and the width of his normal distributions using an electronic circuit, connecting six strain gages to a
35 chip, installed inside the gun handle. After that, the gun will only recognize for a successful firing an individual

whose different local grip forces fit into the different stored small local operational ranges. Of course, the owner is one of those individuals. In consequence, there is only a very minor possibility that a different individual would satisfy the six conditions simultaneously.

Limiting Factor → average grip forces and palmar impression - third level of handling safety in gun personalization.

It is installed inside the gun handle an electronic circuit, connecting six strain gages to a chip. The strain gages are placed either in the frontal part of the handle in the ergonomic position of the three fingers of the hand used to hold the gun, in the posterior part of the handle in the ergonomic position of the part of hand palm correspondent to the thumb, and even in the right lateral part of the handle and in the left lateral part of the handle in the ergonomic position of the hand palm used to hold the gun. In this way, the gun is safe either for dextral or left-handed shooters. A dextral shooter will have zero grip force measured at the left lateral part of the handle and vice-versa for the left-handed shooter. Consequently, only when each local grip force is within the chip stored local operational force range, the trigger unlocking will occur. For instance, a secondary trigger safety pin, always in blocking position, would be released as long as the six local grip forces allowed its liberation.

Resuming, we want to stress that the indiscriminate use of a gun is dangerous but the controlled use is important to self-defense. This invention is a first step towards the gun personalization.

BRIEF DESCRIPTION OF DRAWINGS

Figure 1 - Right lateral view of a gun employing the first level of handling safety, based on the resistance of the coating material of the grasping handle.

Figure 2 - Left lateral view of a gun employing the first level of handling safety, based on the measurement of

the grip force using a strain gage, located in the frontal part of the handle in the ergonomic position of the "greater" finger of the hand used to hold the gun. The grip force has to be superior to an established threshold.

5 Figure 3 - Right lateral view of a gun employing the first level of handling safety, based on the measurement of the grip force using a strain gage, located in the posterior part of the handle in the ergonomic position of the part of hand palm correspondent to the thumb of the hand used to hold
10 the gun. The grip force has to be superior to an established threshold.

Figure 4 - Right lateral view of a gun employing the first level of handling safety, based on the measurement of the grip force using a strain gage, located in the right
15 lateral part of the handle, for dextral shooters, in the ergonomic position of the hand palm used to hold the gun. The grip force has to be superior to an established threshold.

Figure 5 - Left lateral view of a gun employing the first level of handling safety, based on the measurement of
20 the grip force using a strain gage, located in the left lateral part of the handle, for left-handed shooters, in the ergonomic position of the hand palm used to hold the gun. The grip force has to be superior to an established threshold.

Figure 6 - Left lateral view of a gun employing the
25 second level of handling safety, based on the measurement of the grip force using a strain gage, located in the frontal part of the handle in the ergonomic position of the "greater" finger of the hand used to hold the gun. The grip force has to fit into the small operational range, established and
30 stored at the moment of acquisition by the gun owner.

Figure 7 - Right lateral view of a gun employing the second level of handling safety, based on the measurement of the grip force using a strain gage, located in the posterior part of the handle in the ergonomic position of the part of
35 hand palm correspondent to the thumb of the hand used to hold the gun. The grip force has to fit into the small operational

range, established and stored at the moment of acquisition by the gun owner.

Figure 8 - Right lateral view of a gun employing the second level of handling safety, based on the measurement of the grip force using a strain gage, located in the right lateral part of the handle, for dextral shooters, in the ergonomic position of the hand palm used to hold the gun. The grip force has to fit into the small operational range, established and stored at the moment of acquisition by the gun owner.

Figure 9 - Left lateral view of a gun employing the second level of handling safety, based on the measurement of the grip force using a strain gage, located in the left lateral part of the handle, for left-handed shooters, in the ergonomic position of the hand palm used to hold the gun. The grip force has to fit into the small operational range, established and stored at the moment of acquisition by the gun owner.

Figure 10 - A right lateral view of a gun employing the third level of handling safety, based on the measurement of local grip forces using up to six strain gages, located in the frontal part of the handle in the ergonomic position of the three fingers of the hand used to hold the gun, in the posterior part of the handle in the ergonomic position of the part of hand palm correspondent to the thumb, and even in the right lateral part of the handle and also in the left lateral part of the handle, in the ergonomic position of the hand palm used to hold the gun. The six local grip forces have to fit into the six small local operational ranges, established and stored at the moment of acquisition by the gun owner.

DESCRIPTION OF PREFERRED EMBODIMENTS

Figure 1 - The gun 10 includes the handle coating material 11, with a resistance corresponding to the inferior threshold of the grip force that only when surpassed will allow the trigger unlocking. The energy source 12 is actuated as long as the shooter grasps the handle with a grip force

that surpasses the minimal resistance. The trigger

safety pin 13 will always be in blocking position and will be moved to the unblocking position through the activation of the source energy, as long as the grip force
5 surpasses the threshold.

Figure 2 - The gun 20 includes a strain gage 21 placed in the frontal part of the handle 22 in the ergonomic position of the "greater" finger of the shooter's hand used to hold the gun. The strain gage will be used to compare the
10 applied grip force to the inferior threshold, as a limiting factor for the employment of the gun. The energy source 23 is actuated as long as the shooter grasps the handle. An electronic circuit with chip 24 will be activated by the source energy, evaluating the applied grip force and sending
15 the unblocking order of the trigger safety pin 25, if the force is larger than the registered threshold. The trigger safety pin will always be in blocking position and will be moved to the unblocking position through the source energy, as long as the grip force surpasses the threshold.

20 Figure 3 - The gun 30 includes a strain gage 31 placed in the posterior part of the handle 32 in the ergonomic position of the part of hand palm correspondent to the thumb of the hand used to hold the gun. The strain gage will be used to compare the applied grip force to the inferior
25 threshold, as a limiting factor for the employment of the gun. The energy source 33 is actuated as long as the shooter grasps the handle. An electronic circuit with chip 34 will be activated by the source energy, evaluating the applied grip force and sending the unblocking order of the trigger safety
30 pin 35, if the force is larger than the registered threshold. The trigger safety pin will always be in blocking position and will be moved to the unblocking position, through the source energy, as long as the grip force surpasses the threshold.

35 Figure 4 - The gun 40 includes a strain gage 41 placed in the right lateral part of the handle 42, for dextral

shooters, in the ergonomic position of the hand palm used to hold the gun. The strain gage will be used to compare the applied grip force to the inferior threshold, as a limiting factor for the employment of the gun. The energy source 43 is actuated as long as the shooter grasps the handle. An electronic circuit with chip 44 will be activated by the source energy, evaluating the applied grip force and sending the unblocking order of the trigger safety pin 45, if the force is larger than the registered threshold. The trigger safety pin will always be in blocking position and will be moved to the unblocking position, through the source energy, as long as the grip force surpasses the threshold.

Figure 5 - The gun 50 includes a strain gage 51 placed in the left lateral part of the handle 52, for left-handed shooters, in the ergonomic position of the hand palm used to hold the gun. The strain gage will be used to compare the applied grip force to the inferior threshold, as a limiting factor for the employment of the gun. The energy source 53 is actuated as long as the shooter grasps the handle. An electronic circuit with chip 54 will be activated by the source energy, evaluating the applied grip force and sending the unblocking order of the trigger safety pin 55, if the force is larger than the registered threshold. The trigger safety pin will always be in blocking position and will be moved to the unblocking position, through the source energy, as long as the grip force surpasses the threshold.

Figure 6 - The gun 60 includes a strain gage 61 placed in the frontal part of the handle 62 in the ergonomic position of the "greater" finger of the shooter's hand used to hold the gun. The strain gage will be used to verify if the applied grip force fit into the small operational range, established at acquisition by the owner. The energy source 63 is actuated as long as the shooter grasps the handle. An electronic circuit with chip 64 will be activated by the source energy, evaluating the applied grip force and sending the unblocking order of the trigger safety pin 65, if the

force fit into the small operational range. The trigger safety pin will always be in blocking position and will be moved to the unblocking position, through the source energy, as long as the grip force fit into the small operational range.

5 Figure 7 - The gun 70 includes a strain gage 71 placed in the posterior part of the handle 72 in the ergonomic position of the part of hand palm correspondent to the thumb of the hand used to hold the gun. The strain gage will be used to verify if the applied grip force fit into the small operational range, established at acquisition by the owner. The energy source 73 is actuated as long as the shooter grasps the handle. An electronic circuit with chip 74 will be activated by the source energy, evaluating the applied grip force and sending the unblocking order of the trigger safety pin 75, if the force fit into the small operational range. The trigger safety pin will always be in blocking position and will be moved to the unblocking position, through the source energy, as long as the grip force fit into the small operational range.

10 Figure 8 - The gun 80 includes a strain gage 81 placed in the right lateral part of the handle 82, for dextral shooters, in the ergonomic position of the hand palm used to hold the gun. The strain gage will be used to verify if the applied grip force fit into the small operational range, established at acquisition by the owner. The energy source 83 is actuated as long as the shooter grasps the handle. An electronic circuit with chip 84 will be activated by the source energy, evaluating the applied grip force and sending the unblocking order of the trigger safety pin 85, if the force fit into the small operational range. The trigger safety pin will always be in blocking position and will be moved to the unblocking position, through the source energy, as long as the grip force fit into the small operational range.

35 Figure 9 - The gun 90 includes a strain gage 91 placed

in the left lateral part of the handle 92, for left-handed shooters, in the ergonomic position of the hand palm used to hold the gun. The strain gage will be used to verify if the applied grip force fit into the small operational range, established at acquisition by the owner. The energy source 93 is actuated as long as the shooter grasps the handle. An electronic circuit with chip 94 will be activated by the source energy, evaluating the applied grip force and sending the unblocking order of the trigger safety pin 95, if the force fit into the small operational range. The trigger safety pin will always be in blocking position and will be moved to the unblocking position, through the source energy, as long as the grip force fit into the small operational range.

Figure 10 -The gun 100 includes six strain gages (101, 102, 103, 104, 105 and 106 - not showed, since it is in the left lateral part of the handle) placed in the frontal part of the handle 107 in the ergonomic position of the three fingers of the hand used to hold the gun (strain gages 101, 102 and 103), in the posterior part of the handle in the ergonomic position of the part of hand palm correspondent to the thumb (strain gage 104), and even in the right lateral part of the handle (strain gage 105) and also in the left lateral part of the handle (strain gage 106 - not showed symmetric to 105), in the ergonomic position of the hand palm used to hold the gun. The six strain gages will be used to verify if the locally applied grip forces fit into the six small operational ranges, established at acquisition by the owner. The energy source 108 is actuated as long as the shooter grasps the handle. An electronic circuit with chip 109 will be activated by the source energy, evaluating the locally applied grip forces and sending the unblocking order of the trigger safety pin 110, if the six forces fit into every one of the six small operational ranges. The trigger safety pin will always be in blocking position and will be moved to the unblocking position, through the source energy,

as long as the six grip forces fit into the six small operational ranges.

CLAIMS

1. A portable gun, such as revolvers, pistols, carbines, riffles and hand machine guns, among others that has a handle capable of establishing a first level of owner
5 recognition, characterized in that it is possible to restrict to a group of persons the successful shooting process of a portable gun when their grip force is superior to, an established threshold.

2. A portable gun, according to claim 1, characterized
10 in that the persons with grip force bellow the established bottom limit can grab a gun and try to actuate the trigger, but the safety device will not be activated and the action will be unsuccessful.

3. A portable gun, according to claim 1, characterized
15 in that the minimum grip force for successful shooting is established by material deformation, since the coating material of the grasping handle will be produced with a determined resistance that only when an established threshold grip force is surpassed, the trigger unlocking will occur.

20 4. A portable gun, according to claim 1, characterized in that the minimum grip force for successful shooting is established by a strain gage and stored by a chip, both connected by an electronic circuit, all installed inside the gun handle.

25 5. A portable gun, according to claim 1, characterized by the fact that the strain gage is placed either in the frontal part of the handle in the ergonomic position of the "greater" finger of the hand used to hold the gun, or in the posterior part of the handle in the ergonomic position of the
30 part of hand palm correspondent to the thumb, or even in the right lateral part of the handle, for dextral shooters, or the left lateral part of the handle, for left-handed shooters, in the ergonomic position of the hand palm used to hold the gun.

35 6. A portable gun, according to claim 1, characterized in that the trigger unlocking is done by a secondary safety

pin, always in blocking position, to be released as long as the grip force allows its liberation.

7. A portable gun, such as revolvers, pistols, carbines, riffles and hand machine guns, among others that
5 has a handle capable of establishing a second level of owner recognition, characterized in that it is possible to restrict to an even smaller group of persons the successful shooting process of a portable gun when their grip force fit into a small operational range, established according the average
10 grip force of the owner and the width of his normal distribution.

8. A portable gun, according to claim 7, characterized in that persons with grip force outside of the small operational range can grab a gun and try to actuate the
15 trigger, but the safety device will not be activated and the action will be unsuccessful.

9. A portable gun, according to claim 7, characterized in that the small operational range is established by a strain gage and stored by a chip, both connected by an
20 electronic circuit, all installed inside the gun handle.

10. A portable gun, according to claim 7, characterized in that the strain gage is placed either in the frontal part of the handle in the ergonomic position of the "greater" finger of the hand used to hold the gun, or in the posterior
25 part of the handle in the ergonomic position of the part of hand palm correspondent to the thumb, or even in the right lateral part of the handle, for dextral shooters, or the left lateral part of the handle, for left-handed shooters, in the ergonomic position of the hand palm used to hold the gun.

30 11. A portable gun, according to claim 7, characterized in that at acquisition the owner will hold the handle as many times it is necessary to compute and store his average grip force and the width of his normal distribution.

12. A portable gun, according to claim 7, characterized
35 in that only when a grip force is within the force range stored in the chip, the trigger unlocking will occur and a

secondary safety pin, always in blocking position, will be released.

13. A portable gun, such as revolvers, pistols, carbines, riffles and hand machine guns, among others that
5 has a handle capable of establishing a third level of owner recognition, characterized in that it is possible to restrict to only one individual the successful shooting process of a portable gun, based on the establishment of as many as
10 necessary (in this case it is considered six) average local grip forces by the owner and the same number (six) of widths of his normal distributions.

14. A portable gun, according to claim 13, characterized in that any person different from the owner can grab the portable gun and try to actuate the trigger, but the
15 safety device will not be activated and the action will be unsuccessful.

15. A portable gun, according to claim 13, characterized in that it is possible to restrict to only one individual the successful shooting process of a portable gun
20 based on the establishment of six average local grip forces by the owner, since the portable gun will only recognize for a successful firing an individual whose different local grip forces fit into the different stored small local operational ranges.

25 16. A portable gun, according to claim 13, characterized in that the six local operational ranges are established by six strain gages and stored by a chip, all of them connected by an electronic circuit, all installed inside the gun handle.

30 17. A portable gun, according to claim 13, characterized in that the six strain gages are placed either in the frontal part of the handle in the ergonomic position of the three fingers of the hand used to hold the gun, in the posterior part of the handle in the ergonomic position of the
35 part of hand palm correspondent to the thumb, and even in the right lateral part of the handle and in the left lateral part

of the handle in the ergonomic position of the hand palm used to hold the gun; in this way the gun is safe either for dextral or left-handed shooters a dextral shooter will have zero grip force measured at the left lateral part of the handle and vice-versa for the left-handed shooter.

18. A portable gun, according to claim 13, characterized in that the gun will recognize his owner because at the moment of acquisition he will hold the handle as many times as it is necessary to compute and store his average local grip forces and the widths of his normal distributions.

19. A portable gun, according to claim 13, characterized in that only when each local grip force is within the local operational force range stored in the chip, the trigger unlocking will occur and a secondary trigger safety pin, always in blocking position, will be released.

Fig. 1

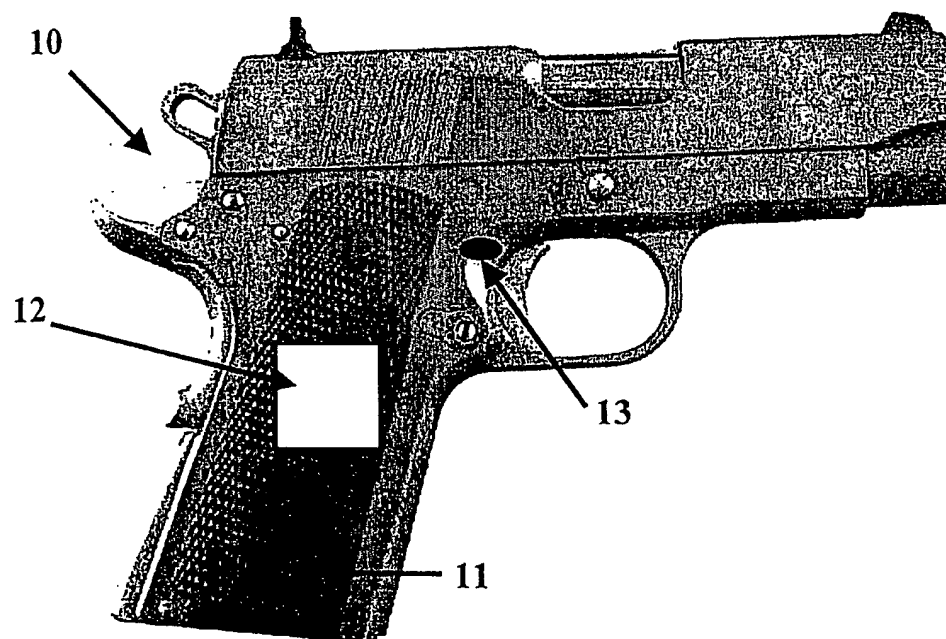
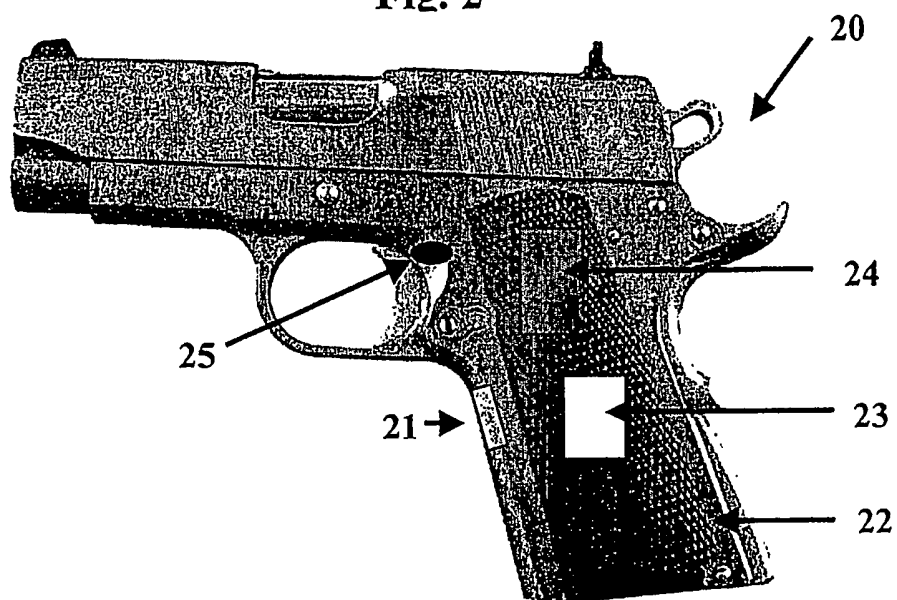


Fig. 2



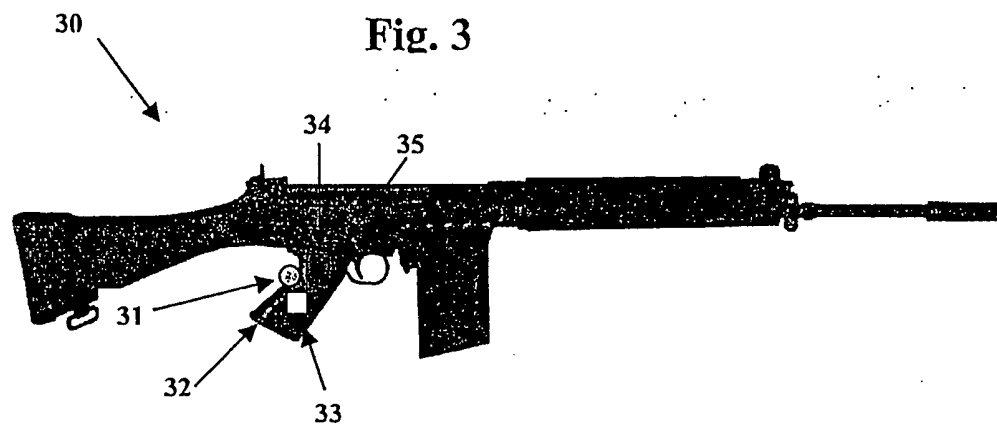


Fig. 4

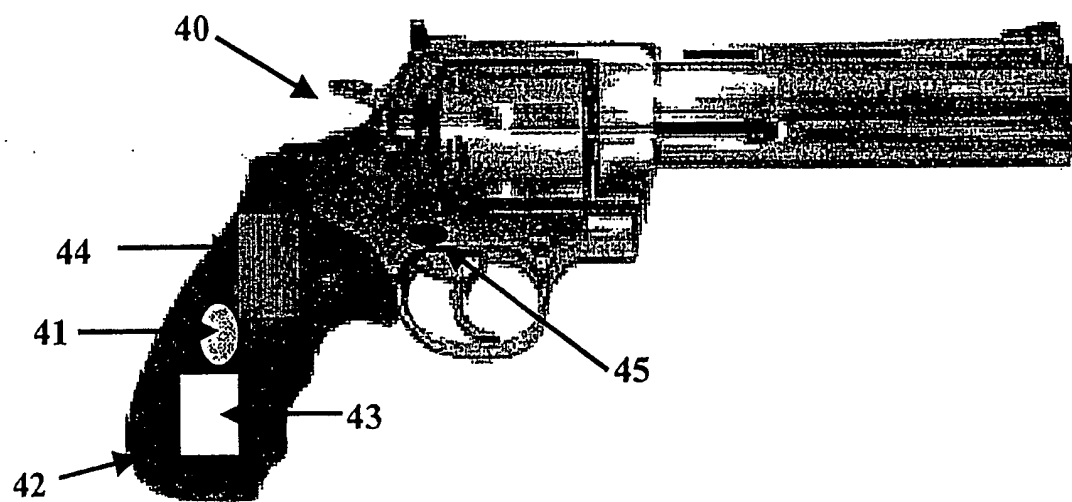


Fig. 5

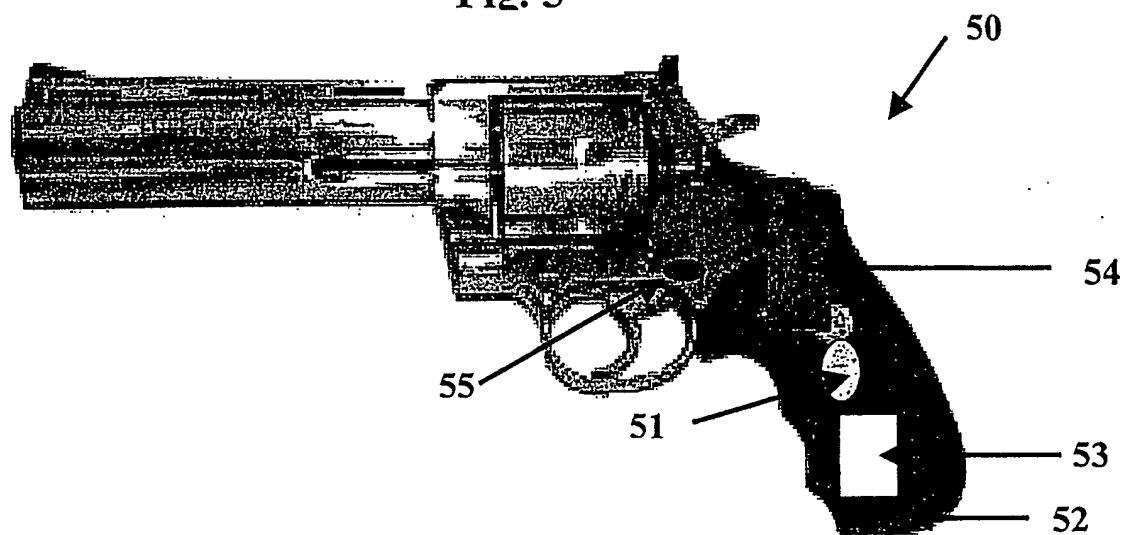
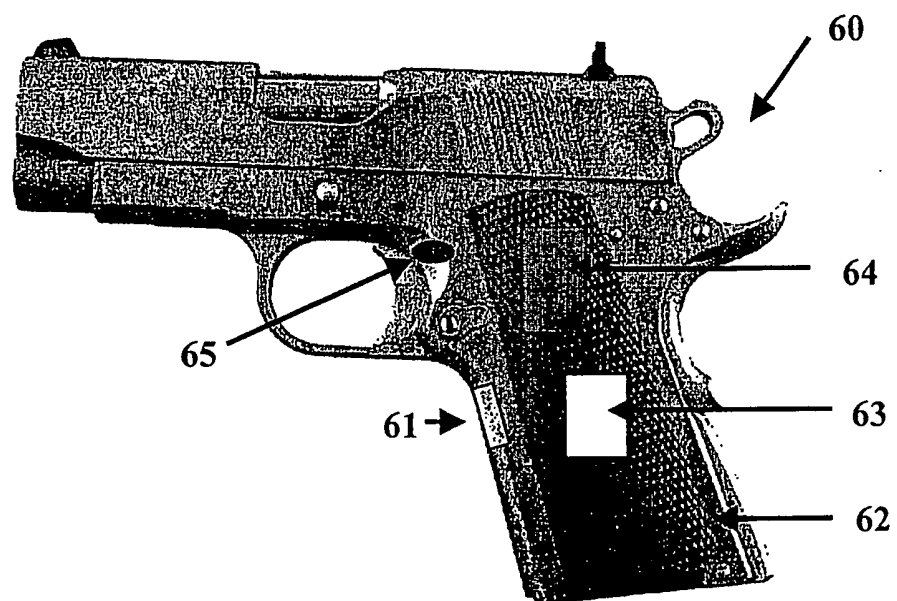


Fig. 6



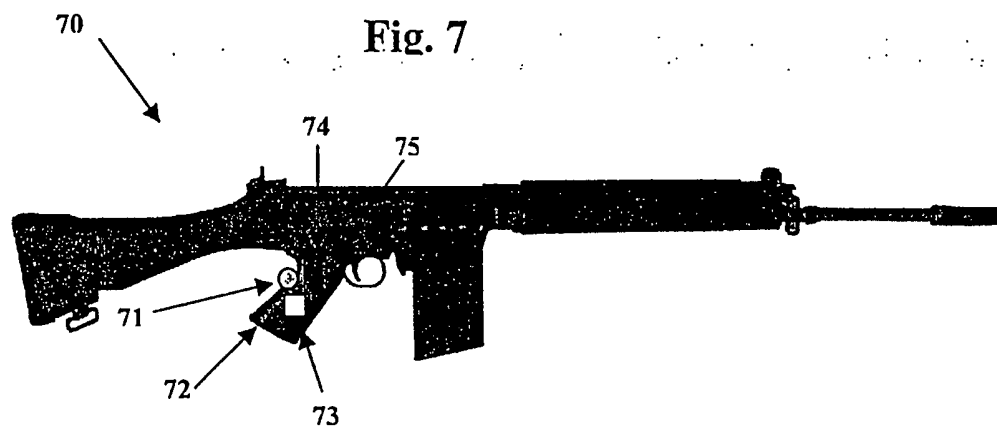


Fig. 8

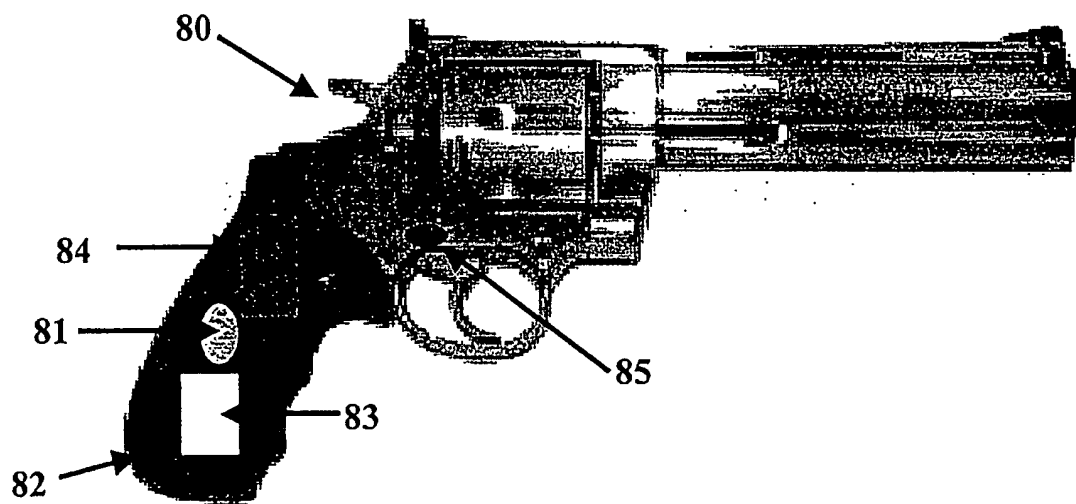


Fig. 9

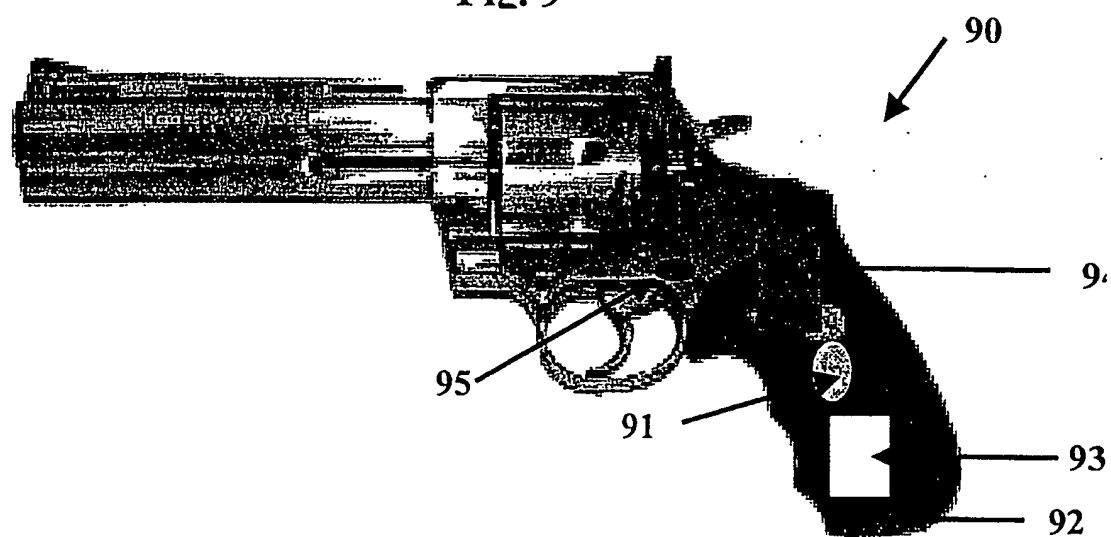
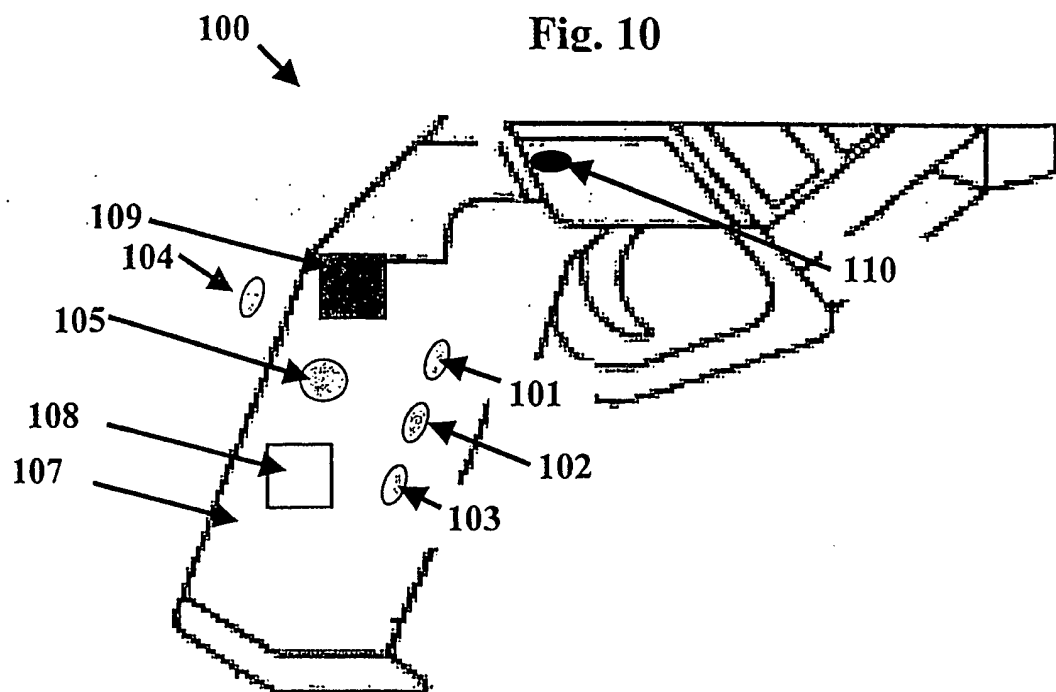


Fig. 10



**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ **BLACK BORDERS**

☒ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☒ **FADED TEXT OR DRAWING**

☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☐ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.